## Special Monomial Maps: Examples, Classification, Open Problems

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### Outline

- Special maps yielding small Kakeya sets
- Non-linear monomial maps

## Kakeya Sets

A subset  $\mathcal{K}$  of  $\mathbb{F}_q^n$  is called a Kakeya set if it contains a line in every direction.

Let  $\alpha \in \mathbb{F}_q^n$ ,  $\alpha \neq 0$ . Then a line in direction  $\alpha$  is just  $\{\alpha \cdot t + \beta \, | \, t \in \mathbb{F}_q\}$ ,

where  $\beta \in \mathbb{F}_q^n$  is arbitrary.

Goal: Constructions for small Kakeya sets.

## Kakeya Sets

A subset  $\mathcal{K} \subseteq \mathbb{F}_q^n$  is a Kakeya set if for every non-zero  $\alpha \in \mathbb{F}_q^n$ there is a  $\beta_{\alpha} \in \mathbb{F}_q^n$  such that the line

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\{\alpha \cdot t + \beta_{\alpha} \, | \, t \in \mathbb{F}_{q}\}
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is contained in  $\boldsymbol{\mathcal{K}}.$ 

Suppose we know the map  $\alpha \mapsto \beta_{\alpha}$ , then the set

$$\bigcup_{\alpha} \left\{ \alpha \cdot t + \beta_{\alpha} \, | \, t \in \mathbb{F}_{q} \right\}$$

is a Kakeya set.

Hence, constructing a small Kakeya set is equivalent to finding a map  $\alpha \mapsto \beta_{\alpha}$  such that the above union is small.

**Problem:** Find a map  $\alpha \mapsto \beta_{\alpha}$  such that the union

$$\bigcup_{\alpha} \{ \alpha \cdot t + \beta_{\alpha} \, | \, t \in \mathbb{F}_{q} \}$$

is small.

Construction: [Kopparty-Lev-Saraf-Sudan (2011)]:

Let w.l.g.  $\alpha = (a_1, a_2, \dots, a_{j-1}, 1, 0, \dots, 0)$  for some  $1 \leq j \leq n$  and take

 $\beta_{\alpha} := (f(a_1), f(a_2), \dots, f(a_{j-1}), 0, 0, \dots, 0)$ 

for some fixed map  $f : \mathbb{F}_q \to \mathbb{F}_q$ .

#### Kakeya Sets: A Construction

Then the line defined by  $\alpha = (a_1, a_2, \ldots, a_{j-1}, 1, 0, \ldots, 0)$  is

$$\mathcal{L}_{\alpha} := \{ (a_1 \cdot t + f(a_1), \dots, a_{j-1} \cdot t + f(a_{j-1}), t, 0, \dots, 0) \mid t \in \mathbb{F}_q \}$$

and the corresponding Kakeya set is

$$\mathcal{K} = \bigcup_{\alpha} \mathcal{L}_{\alpha}.$$

Note that

$$\mathcal{K} = \{(y_1, \dots, y_{j-1}, t, 0, \dots, 0) \mid 1 \le j \le n, t \in \mathbb{F}_q, y_i \in Im_f(t)\},$$
 with

$$Im_f(t) := \{f(x) + t \cdot x \mid x \in \mathbb{F}_q\}.$$

Hence, to minimize  $|\mathcal{K}|$  we need to find a map  $f : \mathbb{F}_q \to \mathbb{F}_q$  with  $|Im_f(t)|$  small for all  $t \in \mathbb{F}_q$ .

Result [Kopparty-Lev-Saraf-Sudan (2011)]:

(a) For every q and  $f: \mathbb{F}_q \to \mathbb{F}_q$ , there is an element  $t \in \mathbb{F}_q$  with

$$|Im_{f}(t)| = |\{f(x) + t \cdot x \mid x \in \mathbb{F}_{q}\}| > \frac{q}{2}.$$

(b) If q is odd, then the map  $s: \mathbb{F}_q \to \mathbb{F}_q$  with  $x \mapsto x^2$  satisfies

$$|Im_s(t)| = |\{x^2 + t \cdot x \mid x \in \mathbb{F}_q\}| = \frac{q+1}{2}.$$

Hence *s* defines an (asymptotically) optimal Kakeya set (when the presented construction is used).

Which maps  $f : \mathbb{F}_q \to \mathbb{F}_q$  are optimal when q is even? (Open)

It is worth to try to understand at first monomial maps  $f(x) = x^k$ , since

- a best solution for q odd is a monomial map; and
- they are easier to handle: Not all t must be checked: If there is  $a \in \mathbb{F}_q$ , such that  $t = a^{k-1}$ , then

$$x^{k} + tx = a^{k} \cdot \left( (x/a)^{k} + (x/a) \right).$$

Let  $q = 2^m$ . The best known choice for the map  $f : \mathbb{F}_q \to \mathbb{F}_q$  is

•  $f(x) = x^{2^{m/2}+1}$ , when m is even

• 
$$f(x) = x^4 + x^3$$
, when m is odd.

**Open question:** Let q be even. What is the best choice for f? What is the best choice for monomial f?

#### A proof from the BOOK

Theorem: Let  $q = 2^m$  with m even. Then

$$\{x^{2^{m/2}+1} : x \in \mathbb{F}_q\}| = 2^{m/2},$$

and for any non-zero  $t \in \mathbb{F}_q$ 

$$|\{x^{2^{m/2}+1} + tx : x \in \mathbb{F}_q\}| = \frac{2^m + 2^{m/2}}{2}$$

Proof [Peter Müller]: It is enough to compute the size of the image set of

$$g(x) := x^{2^{m/2}+1} + x,$$

that is to consider only t = 1.

#### A proof from the BOOK

The goal is to compute the image set of  $g(x) := x^{2^{m/2}+1} + x$ .

Note, if  $y,z\in \mathbb{F}_{oldsymbol{q}}$  are such that

$$g(z) = z^{2^{m/2}+1} + z = y^{2^{m/2}+1} + y = g(y),$$

then z = y + u for some  $u \in \mathbb{F}_{2^{m/2}}$ .

So, let 
$$u \in \mathbb{F}_{2^{m/2}}$$
. Then  

$$\begin{aligned} g(y+u) &= y^{2^{m/2}+1} + y + u(y^{2^{m/2}} + y) + u^2 + u \\ &= g(y) + u(y^{2^{m/2}} + y) + u^2 + u \\ &= g(y) + u(Tr(y) + u + 1). \end{aligned}$$

Thus y and y + u,  $u \neq 0$ , have the same image if and only if

u = Tr(y) + 1.

Theorem[Kopparty-Lev-Saraf-Sudan (2011); K.-Müller-Wang (2014)]:

Let  $n \geq 1$ . There is a Kakeya set  $\mathcal{K} \subset \mathbb{F}_q^n$  such that

$$|K| < \begin{cases} 2\left(1 + \frac{1}{q-1}\right)\left(\frac{q+1}{2}\right)^n & \text{if } q \text{ is odd,} \\ \frac{2q}{q+\sqrt{q}-2}\left(\frac{q+\sqrt{q}}{2}\right)^n & \text{if } q \text{ is an even power of 2,} \\ \frac{8q}{5q+2\sqrt{q}-3}\left(\frac{5q+2\sqrt{q}+5}{8}\right)^n & \text{if } q \text{ is an odd power of 2.} \end{cases}$$

There are several criteria which measure (non-)linearity of a map:

- (1) Algebraic degree: a linear map has algebraic degree 1
- (2) Differential properties: Given a linear map L, for any fixed non-zero a the set  $\{L(x+a) L(x) | x \in \mathbb{F}_q\}$  contains only one element, namely L(a).
- (3) Linear approximation: a non-linear map does not allow a good affine approximation.

## **APN** maps

A map  $f : \mathbb{F}_{2^n} \to \mathbb{F}_{2^n}$  is called almost perfect nonlinear (APN), if for every fixed non-zero  $a \in \mathbb{F}_{2^n}$ 

$$|\{f(x+a)+f(x):x\in\mathbb{F}_{2^n}\}|=2^{n-1}.$$

Applications

- Cryptology: The best resistance against differential attacks
- Coding theory:  $[2^n 1, 2^n 2n 1, 5]$ -codes
- Finite geometry: Constructions of dimensional dual hyperovals

### APN exponents on $\mathbb{F}_{2^n}$

An integer  $1 \le d \le 2^n - 2$  is called an APN exponent on  $\mathbb{F}_{2^n}$  if the corresponding map  $x \mapsto x^d$  is APN on  $\mathbb{F}_{2^n}$ .

An APN exponent d is called exceptional, if it defines APN maps for infinitely many n.

Some questions on the classification of APN exponents:

- Characterize all APN exponents. (Open)
- Characterize all exceptional APN exponents. (Solved)
- What are the possible binary weights of APN exponents d (or equivalently, algebraic degree of  $x^d$ ) on  $\mathbb{F}_{2^n}$ ? (Open)

(All known) APN exponents on  $\mathbb{F}_{2^n}$ :

- 
$$2^k + 1$$
 with  $gcd(k, n) = 1$  (Gold's exponent)

- $2^{2k} 2^k + 1$  with gcd(k, n) = 1 (Kasami's exponent)
- $2^{4m} + 2^{3m} + 2^{2m} + 2^m 1$  for n = 5m (Dobbertin's exponent)

- 
$$2^m + 3$$
 for  $n = 2m + 1$  (Welch's exponent)

- $2^m + 2^{\frac{m}{2}} 1$  for n = 2m + 1 with m even, and  $2^m + 2^{\frac{3m+1}{2}} - 1$  for n = 2m + 1 with m odd (Niho's exponents)
- $2^n 2$  for n odd (field inverse).

Only Gold and Kasami APN exponents are exceptional. [Hernando-McGuire(2011)] There are more APN exponents known.

Fact: If d is an APN exponent on  $\mathbb{F}_{2^n}$ , then:

 $2 \cdot d \mod 2^n - 1$  is an APN exponent on  $\mathbb{F}_{2^n}$  too;

and also its inverse  $d^{-1}$  modulo  $2^n - 1$  is an APN exponent on  $\mathbb{F}_{2^n}$ , when *n* is odd.

Can we find the inverses of the APN exponents explicitly?

- Yes, if d depends on n;
- (probably) No, if d is exceptional.

The inverses of all known APN exponents depending on n are explicitly known:

- field inverse (trivial)
- Niho's exponents (Portmann and Rennhard 1997)
- Welch's and Dobbertin's exponents (K. and Suder 2014)

Only partial results for Gold and Kasami exponents.

Theorem [K.-Suder (2014)] Let m be odd. Then the least positive residue of the inverse of Dobbertin's exponent  $d = 2^{4m} + 2^{3m} + 2^{2m} + 2^m - 1$  modulo  $2^{5m} - 1$  is

$$\frac{1}{2}\left(\frac{2^{5m}-1}{2^m-1}\cdot\frac{2^{m+1}-1}{3}-1\right)$$

and its binary weight is  $\frac{5m+3}{2}$ .

#### Remark:

- The key step in proving such results is to guess the formula.
- The inverse of Dobbertin's exponent shows existence of APN maps of algebraic degree  $\frac{n+1}{2} + 1$  on  $\mathbb{F}_{2^n}$  when n = 5m is odd.

### Crooked maps

An APN map  $f: \mathbb{F}_{2^n} \to \mathbb{F}_{2^n}$  is called crooked, if for every fixed non-zero  $a \in \mathbb{F}_{2^n}$ 

$$\{f(x+a) + f(x) : x \in \mathbb{F}_{2^n}\}$$

is an affine hyperplane.

Fact: An exponent d is crooked if and only if it is a Gold APN exponent, that is  $d = 2^i + 2^j$ . [K.2007]

Conjecture: Every crooked map must be of shape

$$\sum_{i,j}a_{i,j}\,x^{2^i+2^j}$$

However the Coulter-Matthews planar exponents do exist!

#### **Planar maps**

If  $q = p^n$  odd, a map  $f : \mathbb{F}_q \to \mathbb{F}_q$  is called planar, if for every fixed non-zero  $a \in \mathbb{F}_q$ 

$$\{f(x+a) - f(x) : x \in \mathbb{F}_q\} = \mathbb{F}_q.$$

Easy examples:  $x^2, x^{p^i+1}$ 

Conjecture: [Dembowski and Ostrom (1967)]: Every planar map is given by

$$\sum_{i,j} a_{i,j} \, x^{p^i + p^j},$$

Counterexample [Coulter and Matthews (1996)]: The monomial



defines a planar map on  $\mathbb{F}_{3^n}$  iff k is odd and gcd(k,n) = 1.

# THANK YOU